

A Survey and Qualitative Analysis of Recent Advances in Drama Management

David L. Roberts and Charles L. Isbell

School of Interactive Computing
College of Computing
Georgia Institute of Technology
85 5th St. NW
Atlanta, GA 30332, USA
Email: {robertsd,isbell}@cc.gatech.edu

Abstract: In recent years, there has been a growing interest in constructing rich interactive entertainment and training experiences. As these experiences have grown in complexity, there has been a corresponding growing need for the development of robust technologies to shape and modify those experiences in reaction to the actions of human participants. One popular mechanism for addressing this need is through the use of a drama manager. A drama manager is a coordinator that tracks narrative progress in the environment and directs the roles and/or responses of objects and agents to achieve a specific narrative or training goal. In this paper, we provide a survey of recent advances in drama management technologies for interactive entertainment, and describe a set of desiderata for the qualitative analysis of such systems.

Keywords: interactive narrative, interactive drama, drama management, narrative learning, agent coordination, desiderata.

1. Introduction

The demand for software systems that support increasingly rich and engaging entertainment and training has reached new levels. While simple computer games of skill remain wildly popular, there is an increasing desire for immersive *experiences* that are more akin to stories. These narrative experiences are complex, requiring autonomy for players to influence the way in which the experience unfolds but necessitating some control to preserve coherence and quality. With this increased complexity comes a notable increase in the difficulty of authoring consistent experiences.

Here, we focus on *interactive drama*, an entertainment experience where the player is an active participant in how a story unfolds. Players exercise autonomy in their interaction by choosing to explore different parts of the environment, engaging other players or non-player characters in some way, and taking specific actions. The environment (*e.g.*, objects in the world, the world itself, or other characters) reacts to the behavior of the player. This makes the experience interactive and player-driven. On the other hand, authors of these experiences design specific situations or plot sequences that they hope will occur during play. Thus there is authorial intent to create a *narrative* quality. It is the combination of these two features that creates interactive drama.

There is a natural tension between player autonomy and designer intent: preserving designer intent necessitates

removing player autonomy while ensuring player autonomy makes preservation of designer intent difficult. In the earliest systems, authors addressed this tension using an exhaustive set of *local triggers* to provide instructions for the game world and non-player characters (NPCs); however, this approach simply does not scale. Recently, the job of mediating this tension has fallen to a more centralized *drama manager* (DM), an omniscient coordinator that directs objects and characters in the game world to influence the plot progression. An omnipotent micromanaging drama manager that prevents any player actions corresponds to the traditional notion of drama while no drama manager corresponds to a fully autonomous experience. A DM that infrequently takes actions to influence—as opposed to modify deterministically—the experience corresponds to interactive drama.

Arguably the most famous example of a drama manager is that of the Façade drama manager [27] - [30]. In that case, the drama manager attempts to construct a narrative experience by creating dramatic tension. This is achieved by carefully selecting the set of plot events and the order in which they are presented in response to the player's interactions with the non-player characters.

The idea of using a manager to guide dramatic experiences was first proposed by Laurel [19]. Since then there have been a number of concrete implementations of the idea (see [25] for a somewhat dated survey). In this paper, we will survey a number of systems, focusing on more recent developments and discussing some of their similarities and differences. In addition, we hope extend work in this field by providing a basis upon which to compare these systems. In particular, we describe a number of desiderata we feel are important metrics for the qualitative evaluation of these systems, and situate each system according to those metrics.

Although only a few of the systems we have surveyed in this paper have explicitly been implemented for a learning environment, we believe that many of the approaches to managing interactive experiences are well suited to this task. In particular, the narrative quality that these systems seek corresponds directly with a teacher's or trainer's intent for a lesson. In implementing these approaches in a concrete world, system designers can put the tools in the hands of the teachers to dynamically and potentially easily construct engaging learning experiences.

It is our hope that this paper serves two purposes: 1) this reference will be used by researchers in the narrative learning community for pointers to approaches and techniques that may be suitable for implementation in their own work; and 2) the survey will provide a reference for researchers in interactive narrative to understand useful points of departure for extending the state of the art.

2. Desiderata

The subject of how best to evaluate a drama manager is a topic of some debate in the interactive drama community. One concern arises from the need to separate the quality of authoring from the quality of authorial tools. If it is found that players do not rate their experience more highly when a DM is used, it may just be that the author has created a deeply satisfying (or unsatisfying) experience and the DM cannot significantly change the quality of that experience. Alternatively, perhaps a drama manager could improve the experience if only the tools available to the author allowed her to be more expressive. Another problem arises when we try to separate the quality of the authorial experience from the quality of the player experience. It is not clear who has the highest priority. As we shall see, most systems assume just a model of player behavior and leave it at that.

In addition, there is a choice of perspective between system-building and analysis. Generally speaking, system builders are concerned with technical issues related to the process and problems associated with actual implementations of these systems. As such, some of the techniques surveyed in this paper are integrally tied to a particular game system. On the other hand, analysis is more concerned with looking at the features or affordances of a particular approach to drama management. These techniques tend to be presented independent of a particular game system.

For our purposes, we focus on analysis. Where it is possible, we have tried to separate the approach from the particular game system. Further, we assume that the author has created a generally pleasing narrative, so we can evaluate the drama management systems themselves. Note, however, that any analysis remains speculative in that our qualitative analysis characterizes the potential of a drama management system and the affordances it provides to open new avenues for authorship rather than characterizes the degree to which authors can actually exploit those affordances.

First and foremost, it is desirable for the drama manager to afford author control as well as player autonomy. These two qualities, however, are in service of a greater goal: to create a more engaging or believable entertainment/learning/training experience. In thinking about what, specifically, such a system should provide, there are a number of desiderata that come to mind. Beyond that, however, our specific choices for desiderata were motivated by three factors: 1) Our observations from building systems for managing interactive narratives; 2) The motivations discussed by the authors of the systems we survey (see [20] for example); and 3) Numerous discussions with researchers well versed in game and narrative rhetoric. They are:

- **Speed:** players should not perceive any delay in game action due to decision making by the drama manager.
- **Coordination:** NPCs should coordinate to enhance the experience of the player characters.

- **Replayability:** the game experience should be varied but retain high quality, even during repeated play.
- **Authorial Control:** a DM should provide a way for an author to influence the experience of the player.
- **Player Autonomy:** players should not be so constrained by the drama manager that they cannot pursue their own goals.
- **Ease of Authoring:** the burden of authoring high quality dramatic experiences should not be increased because of the use of a drama manager.
- **Adaptability:** a player's individual characteristics should be exploited to better the experience.
- **Soundness:** the DM should be amenable to theoretical inquiry, allowing one to make verifiable claims about the system as a whole, not just about the underlying solution technique.
- **Invisibility:** the drama manager should not appear overly manipulative to the player.
- **Measurability:** the system should provide affordances for measuring author's satisfaction with the authoring process and the set of stories experienced by the player as well as the player's satisfaction.

It is important to note that some of these desiderata are in conflict. For example, *player autonomy* and *authorial control* are known to be in tension [10] [23] [44]. When implementing a particular approach to drama management, a trade-off is unavoidable. Of course selecting an approach for any particular case is dependent on what is most appropriate for the particular application. Thus, in general, no one of the desideratum is more important than any other.

After describing each system, we will situate it in some detail with respect to two or three desiderata. In addition, we will describe the system briefly for all 10 of the desiderata, classifying them into one of three categories: the system is well designed with respect to the particular desideratum (represented by ●); the designers did not engineer for this criterion (represented by ○); and the description of an approach in terms of a desideratum is highly implementation dependent (represented by ⊖). We present a table summarizing each of the systems in Appendix A.

3. Drama Manager Components

To facilitate clear comparisons, we briefly describe components common to all drama management techniques. All drama management approaches are based on: a set of *plot points*; a set of *drama manager actions* that can be taken in the game world; a *model of player responses* to DM actions; and a *model of the author's intent*.

Plot points represent significant game events such as finding a key to a door or having the player make a significant decision. They can have precedence constraints to avoid nonsensical situations (e.g., a player entering a locked room without having found the key). A story is thus a valid sequence of plot points. Note that not all plot points need occur to be a valid story.

Drama manager actions provide a way to steer a story toward a "good" sequence of plot points. These actions need not have direct concrete implementations in the game world. For example, a concrete DM action could be removing an object from the game world or causing an NPC to start a

conversation. On the other hand, an action could be instructing an NPC to prevent a player character from crossing the street. In this case, the details of how to concretely accomplish this task in the game world are up to the (possibly semi-autonomous) NPCs.¹ Regardless of the implementation, the DM actions are the tools with which the drama manager influences narrative flow.

In order for the DM to reason about action selection, it must have a model of how actions affect the world. In particular, if the DM determines that a player is deviating too far from a desirable plot sequence, it must know which of the many actions available will best guide the player back toward a good sequence. Further, it must know enough to balance between gentle guidance that may not succeed and more heavy-handed actions that will succeed but may be overly apparent. For example, if the author intends for the player to enter a particular building, the DM would not want to take an action to block the entrance, nor would it want to take an action that would clearly be herding the player into the building. Perhaps the DM would create an event that generates sounds from within the building, raising the player's interest in entering.

Finally, all DM systems must have a model of authorial intent. It must be simple to describe and modify, but expressive enough so that the DM can choose proper actions.

4. Optimization-Based Systems

The techniques we describe in this section all use an optimization-based idiom for obtaining authorial intent. Specifically, authorial intent is specified in terms of an *evaluation function*. The drama manager selects from its available actions guided by the goal of optimizing this target function. Although originally rooted in traditional AI search techniques, current systems have borrowed heavily from statistical machine learning. This is in distinct contrast to the planning-based systems described later.

4.1 Search-Based Drama Management

Search-Based Drama Management (SBDM) is attributable to Bates [8] but was studied in greater detail by Weyhrauch [52]. SBDM is based on an abstraction of a game into significant plot events with precedence constraints encoded in a *directed acyclic graph* (DAG). The edges in the DAG do not imply that a particular plot point must occur immediately after its parent in the graph, only that if it occurs it must not occur before. Plot points are also annotated with information about the story such as the location in the story world where the plot point occurs or the dramatic tension that the player is likely to experience. Any sequence of plot points consistent with a topological ordering of the DAG is a valid story.

Game play in this framework proceeds in an alternating fashion with the player triggering plot events and the drama manager taking actions in response. The DM actions in this framework act on a particular plot point. The DM can: *cause*, *deny*, *temp_deny*, *reenable*, and *hint*. The cause action causes a plot point to occur in the game whereas a deny action

prevents a plot point from ever occurring. The *temp_deny* action suspends a plot point from occurring until a *reenable* action is applied to it. The *hint* action should increase the likelihood that a particular plot point will occur. The DM can also choose not to act, allowing the player to be the sole influence on plot progression.

Player responses to DM actions are modeled as transitions between plot events. A coefficient is associated with each plot point. When a DM action hints at a certain plot point, the hint action has the effect of multiplying the coefficient associated with that plot point by a fixed amount. Then, the probability of the player experiencing a plot point is calculated by normalizing the coefficients associated with all of the plot points that have satisfied precedence constraints.

Lastly, the author supplies an evaluation function defined over a valid sequence of plot points and DM actions. In the literature, this evaluation function is defined as a linear combination of story features such as *activity flow*, *thought flow* or *manipulativity*. The output of this evaluation function is a measure of how good the story is in the eyes of the author—it does not reflect player preference.

Weyhrauch uses *SAS+*, a variant of the expecti-max game-tree search algorithm, to optimize the evaluation function. A tree structure is constructed by alternating levels of plot point nodes with DM action nodes. Search alternates maximizing nodes at the plot point levels with expectation nodes at the DM action levels. There are two variants. The first exploits symmetries in the story space to construct a memoization table that enables evaluations over complete stories to be propagated up from the leaves of the tree to interior nodes. The second is a fixed depth search that uses a set of sampled complete stories as a heuristic estimate of the value of the node at which the search terminates.

Lamstein & Mateas proposed revising this technique [18], and Nelson & Mateas further explored it by attempting to reproduce its results [36] [37]. In this work, they uncover the difficulty that can arise when authoring a set of actions that will appear consistent with the situation in the game. For example, suppose one of the plot points occurs when an NPC starts a conversation. If the DM takes an action to cause that plot point when the particular NPC is not near the player, then the outcome could ruin the aesthetic of the story. To handle this situation, they add location tags as properties of actions. They were able to reproduce Weyhrauch's results, but found that the technique did not scale well.

Due to the combinatorial complexity of game tree search it is unsurprising that this system does not do well in terms of its **speed**; however, the designers took care to mediate this difficulty by imposing time limits on search and using heuristic evaluation. This system is especially **measurable**. Along with its derivatives described below, this approach to drama management provides a basis for characterizing the success of the drama manager in meeting the goals of the author using the evaluation function. Evaluating this system typically includes calculating the frequency of the different function evaluations that are realized when the DM is used.

Evaluation:

- **Speed:** ○, the combinatorial complexity of full-depth game tree search is intractable.
- **Coordination:** ●, this is an abstract system and coordination is implementation dependent.

¹ As such, drama managers are similar to agent coordinators. NPCs are agents in a multiagent system communicating with a central coordinator to bring about a high level goal.

- **Replayability:** ○, the only non-determinism arises from random sampling (for the heuristic evaluation) and is not principled or controlled.
- **Authorial Control:** ⊖, affordance provided by causers and deniers gives high degree of control, but is implementation dependent.
- **Player Autonomy:** ⊖, if sufficient hints are authored for DM actions, the player can exercise autonomy.
- **Ease of Authoring:** ○, authoring in the abstract narrative domain seems appropriate, but describing quality in terms of linear evaluation over features is untested as of yet.
- **Adaptability:** ○, does not model or adapt model of player to inform DM decision making.
- **Soundness:** ○, nature of sampling for static evaluation does not provide affordance for theoretical investigation.
- **Invisibility:** ⊖, the concrete implementation of the abstract DM actions will determine invisibility.
- **Measurability:** ●, the author’s evaluation function provides a solid basis to characterize performance.

4.2 Declarative Optimization-Based Drama

Nelson *et al.* continue work on SBDM by introducing *declarative optimization-based drama management* (DODM) [37] [38]. In this work, the plot point abstraction, DM actions, player transition model, and author evaluation function are exactly as in SBDM; however, the SAS+ sampling search is replaced with a policy obtained by solving a Markov Decision Process (MDP). MDPs provide a mathematical framework for modeling an online decision making problem when the dynamics of the world are stochastic [16]. An MDP is specified by a set of states, actions, a stochastic transition model encoding dynamics, and a reward function. The solution to an MDP is a policy dictating the choice of action in every state that will maximize the long-term expected reward. In this formulation of a drama manager, each of the components corresponds to a piece of an MDP specification. The current history of plot points and DM actions define state; the DM actions define a set of actions; the player model defines a probabilistic transition model; and the author’s evaluation function defines a reward function. The solution to the MDP represents the optimal choice of action for the DM given any history of plot points and DM actions.

Unfortunately, reinforcement learning is susceptible to local optima, a phenomenon common to optimization techniques. Due to the stochastic nature of the game dynamics, it is likely that the computed policy will not be optimal. Thus, *Self-adversarial Self-cooperative Exploration* (SASCE) was developed to help find solutions to MDPs that best avoid “bad” parts of the state space. The idea behind SASCE is to use the current estimate of the state-value function that defines the MDP policy to select player transitions that are adversarial. In other words, the actual player model is not used in learning the SASCE policy. Instead a “self-adversarial” player model is substituted that forces the DM to learn a policy that optimizes for the worst possible player behavior. Results obtained by simulating game play against the actual player model indicate that this

approach helps to reduce the frequency of poorly rated stories while increasing the number of moderately rated stories.

In contrast to SBDM, DODM has an advantage in terms of runtime **speed** because a policy specifying drama manager actions for every situation is learned before game play; however, it does require significant offline computational effort. Like SBDM, it also provides an affordance for **measurability**. Further, reinforcement learning is theoretically well-grounded and **sound**. Experiments suggest that DODM improves performance; however this appears to come at the cost of **replayability**. The system finds a narrow set of good stores and drives the player towards them.

Evaluation:

- **Speed:** ●, RL-trained policy means action selection is simply a lookup, rather than a computation; however, offline computation can be quite expensive.
- **Coordination:** ⊖, like SBDM, DODM is abstract and coordination will be author dependent.
- **Replayability:** ○, deterministic optimization limits variety of experience.
- **Authorial Control:** ⊖, if authors take advantage of cause and deny DM actions.
- **Player Autonomy:** ⊖, with the use of the hint DM action the author can provide for increased player autonomy.
- **Ease of Authoring:** ○, authoring abstract narratives seems feasible, but it is unclear if authors think in terms of story features and a linear combination.
- **Adaptability:** ○, one player model is used to describe all player types and it is not adapted during game play.
- **Soundness:** ●, the MDP formalism provides theoretical underpinnings.
- **Invisibility:** ⊖, subject to quality of concrete implementation of author specified DM actions.
- **Measurability:** ●, the author’s linear evaluation function provides a solid basis to characterize performance.

4.3 TTD-MDPs

Targeted Trajectory Distribution MDPs (TTD-MDPs) are a variant of MDPs developed specifically to address the issue of replayability [9] – [11] [44] – [46].² A TTD-MDP is defined similarly to an MDP by: a set of trajectories that represent sequences of MDP states; a set of actions; a stochastic transition model; and a target distribution specifying a desired probability for every complete trajectory. The solution to a TTD-MDP is a *stochastic policy* providing a *distribution* over actions in every state such that under repeated play the sequence of states will match the target distribution as closely as possible.³ Any finite-length

² The work of van Lent *et al.* also seeks to address replayability using a two level planning system: a strategic or deliberative level and a tactical or reactive level [51]. Unfortunately, this approach is designed for adversarial games and seems ill-suited to plot-driven open world games where drama managers are typically used.

³ Closeness is typically an error measure such as KL-divergence.

discrete-time MDP can be converted to a TTD-MDP by simply encoding the history of MDP states into the TTD-MDP trajectories. This results in a TTD-MDP where each trajectory represents a sequence of states in the underlying MDP, optionally including a history of the actions taken.

The specification of authorial intent is a bit trickier in TTD-MDPs. Thus far, there have been two approaches taken: converting the DODM-style evaluation function and using a set of prototype trajectories.

Evaluation-based: Roberts *et al.* present a method for converting the author’s evaluation function into a probability distribution over stories [45]. Because the evaluation function is not typically generative, they present an approach that estimates a target distribution. First, a set of stories is sampled uniformly—ignoring stories that evaluate too poorly—and used to construct a “trajectory tree.” Probability mass is assigned by normalizing the evaluation scores across all the leaves in the sampled tree. These probabilities are then propagated up the tree to produce a probability for partial stories. Thus, when the DM selects actions according to the probabilistic policy that is solved for, it is actually targeting stories in proportion to their evaluation quality.

Prototype-based: Roberts *et al.* extend TTD-MDPs by introducing an alternative authorial idiom based on a pre-specified set of desirable stories [11] [44] [46]. In this work, they replace the conversion process with a mixture of Gaussians (MOG) model. Rather than define a function that attaches value to a story, the author specifies a set of good prototype stories and defines a distance measure between stories. Each prototype becomes the centroid of a (possibly multivariate) Gaussian distribution. The probability mass that represents the “desirability” of a story is assigned by first determining its distance from each centroid.

This approach is amenable to even more **authorial control**. Specifically, each prototype can be treated differently, assigning unique (potentially non-uniform) mass in the MOG and unique variance along distinct dimensions. Thus, the authorial question becomes that of providing a small set of desirable stories and indicating a level of desirability. Further, the extent of the Gaussian can be tweaked to emphasize different aspects of stories. In this model, the author can adjust the allowed deviation in any direction by adjusting the values in the covariance matrix associated with each centroid.

TTD-MDPs have proven quite good at addressing **replayability**. Unfortunately, there is potentially a cost in the **ease of authoring**. Defining distributions by inferring them from an evaluation function is no more difficult—but also no easier—than defining an evaluation function in other DODM approaches. Providing prototypes may be easier; however, it is unclear if authors will find it easy to define game-specific distance measures that capture the nuances of their intent.

Evaluation:

- **Speed:** ●, can be solved online with a convex optimization technique.
- **Coordination:** ☹, as with SBDM and DODM, coordination is dependent on the implementation.
- **Replayability:** ●, targeted non-determinism gives the author control over variety of experience.
- **Authorial Control:** ☹, subject to the use of cause and deny DM actions.

- **Player Autonomy:** ☹, subject to the use of the DM hint action.
- **Ease of Authoring:** ●, the prototype-distance authoring idiom provides an intuitive paradigm for specifying authorial intent.
- **Adaptability:** ○, the universal player model does not adapt to different players to change DM decisions.
- **Soundness:** ●, a greedy online solution has been proven optimal.
- **Invisibility:** ☹, subject to concrete implementation of abstract DM actions.
- **Measurability:** ●, in the sampling paradigm, the measurements from SBDM and DODM are inherited; in the prototype-distance paradigm, divergence from the target distribution can be calculated.

5. Planning-Based Architectures

Optimization-based approaches are predominantly derived from statistical machine learning methods. In this section, we discuss other approaches that have roots in more traditional AI planning techniques.

5.1 Interactive Drama Architecture

Magerko & Laird describe a framework called the *Interactive Drama Architecture* (IDA) [20] – [24]. In their system, narrative goals are defined by the author at varying degrees of detail and the job of the drama manager (called the story director) is to ensure that the player’s actions do not threaten their realization. For example, suppose the author intends for a particular NPC to provide an object to the player near the end of the story. If the player meets this particular NPC early in the game and chooses to fire a gun at it, the story director must intervene to prevent the bullet from killing the NPC. IDA uses semi-autonomous SOAR agents [17] that enable the directions from the DM to be made at various levels. Thus, in this case, the DM could instruct an agent to simply “prevent the death” of the NPC and allow the agent to determine how. On the other hand, the DM could provide specific instructions such as “make the pistol jam.” In either case, a successful outcome preserves the author’s goals.

In this system, plot events are labeled with preconditions in the form of logical statements. This approach supports dynamic runtime binding. For example, plot events can be authored with a variable, x , that appears throughout the story. When the player causes the first plot event using x to occur, it is bound to a concrete entity in the game world. This ensures that all subsequent plot events using that variable preserve narrative consistency while minimizing **authorial effort**. This type of runtime adaption is not a feature of the optimization-based systems described above.

Additionally, these logical statements can indicate temporal extent: particular plot events can have a range of discrete times between which they must occur. Thus, if a player is too early or too late in causing a plot event, the DM will recognize this as a threat to preconditions and can intervene. Interestingly, there is no notion of *explicit causality* in IDA. In other words, the DM cannot cause plot events to occur, but can prevent player actions that will preclude plot events from occurring. IDA reasons about

potential threats using a *predictive player model*. Thus, the game world is a large unstructured space. But, through proactive modification of the game world, the drama manager limits the player to the portion that is consistent with the author's narrative intent: the player has complete autonomy provided they remain within the scope of narrative intent.

IDA's most significant quality is **invisibility**. One side effect of IDA's approach is a potential increase in the player's perception of autonomy. This characteristic is subjective and has not been explicitly measured. Similarly, some aspects of **ease of authoring** also remain unmeasured. It is an open question whether the non-expert can easily construct predictive player models.⁴

Evaluation:

- **Speed:** ○, use of a planner that is reliant on online re-planning can be slow.
- **Coordination:** ●, the use of semi-autonomous SOAR agents provides an affordance for good coordination if authored properly.
- **Replayability:** ○, the use of a deterministic planner will bring about the same narrative structure repeatedly.
- **Authorial Control:** ○, the lack of causality in this system makes authorial control very difficult.
- **Player Autonomy:** ●, use of DM as a mediator allows for sandbox like exploration of the game environment by the player.
- **Ease of Authoring:** ● and ○, the requirement of an accurate predictive player model can be very difficult to author whereas the use of runtime variable bindings can reduce the specification burden on the author.
- **Adaptability:** ○, does not consider player's goals when making action choices, only tries to ensure the narrative is consistent with authorial intent.
- **Soundness:** ○, nothing has been proven about this system.
- **Invisibility:** ●, designed to be proactive and lightweight so the player does not perceive any influence by the DM.
- **Measurability:** ●, small evaluation of the DM's influence.

5.2 Mimesis

Young *et al.* have developed the Mimesis system [12] [39] [53] [54] [56] [57], a planning system for drama management. A fairly complex architecture, Mimesis is primarily a run-time behavior generator. Mimesis works at multiple levels of abstraction and brings together both the procedural representations used by game engines and the declarative representations used by AI planning systems. In contrast to the architectures described earlier, Mimesis does not select the goals to pursue; it develops plans that are implemented at various levels of abstraction in the game to achieve the goals that are selected for it.

In contrast to IDA, Mimesis is reactive. Suppose the player obtains an object that an NPC needs in order to carry

out a plan. If the NPC continues with its existing plan, it will fail. To account for this, Mimesis will either repair the NPC's plan through re-planning or alter the effects of the player's actions to prevent it from obtaining the object. Note, that Mimesis will not predict that a player will take an action to threaten a plan; however, it will notice that the outcome of an action taken in the world threatens an existing plan.

As mentioned above, Mimesis constructs plans at multiple levels of abstraction. In a functioning system, the request for a plan comes from the game engine, in the form of a set of goals and actions in the story world. The request is handled by the story world planner. This level is implemented using DCOPL, a hierarchical refinement planner. The story plan is then passed back to the game engine and to a discourse planner [55]. The game engine executes the parts of the story plan that pertain to characters, objects in the world, and the environment in general. The discourse planner constructs a complementary plan to control the music, camera angles, and other auxiliary aspects of the game experience. The combination of the story plan and the discourse plan form a coherent narrative plan that when executed by the execution manager will achieve the game engine's requested goals.

Mimesis is similar in nature to IDA; however, it allows more **player autonomy**. On the other hand, it lacks **invisibility**. The failure mode of this approach can easily result in an intervention that is apparent to the player.

Evaluation:

- **Speed:** ○, as with IDA, re-planning is expensive in any sizable domain.
- **Coordination:** ●, the combination of procedural and declarative representation planners enables for a coordinated top to bottom experience.
- **Replayability:** ○, like most systems, is reliant on the player as the only source of non-determinism.
- **Authorial Control:** ●, the dual planner approach provides an affordance for high authorial control.
- **Player Autonomy:** ●, the reactive nature of the planning systems allows higher degrees of autonomy.
- **Ease of Authoring:** ○, obtaining consistency from two unrelated planners can require significant authorial effort.
- **Adaptability:** ○, does not model or adapt to the player's specific behaviors.
- **Soundness:** ○, lacking in provable qualities.
- **Invisibility:** ○, the combination of the story and discourse plans can make for an obvious intervention by the DM.
- **Measurability:** ○, there is no affordance for measurability in this system.

5.3 Automated Story Director

Riedl *et al.* have developed *narrative mediation*, a technique where a story is defined by a *linear plot* progression and by player choices [39] [43] [57]. These components induce a story structure that is modeled as a partially ordered plan. The basic idea is to pre-compute every way the player can violate the plan and generate a contingency plan. The collection of all contingency plans and the narrative plan form the

⁴ In the work described here, the author constructs the model by hand. Mott, Lee & Lester have worked on predicting player goals by learning probabilistic models [31].

narrative mediation tree. To prevent unbounded mediation trees, certain player actions are surreptitiously replaced with “failures.” This is similar to the “boundary violations” discussed by Magerko in the context of IDA.

The initial narrative plan represents the author’s ideal story. In this sense, narrative mediation is similar to prototype based TTD-MDPs. It can be proven that this method of authoring interactive narrative is equally as powerful as creating branching story graphs.

Riedl & Stern implement this approach for a cultural training simulation [40] – [42]. This believable agent architecture, known as the *Automated Story Director (ASD)*, has two goals: first, it must provide instruction to autonomous believable characters that help to shape the player’s experience in the neighborhood around the narrative training goals; and second, it must monitor the story world to detect any inconsistencies that arise as a result of player actions and repair the narrative plan accordingly. To accomplish this, they modify the “failure” semantics discussed above to change the narrative goals of the system rather than simply fail.

This system shares a lot in common with IDA and Mimesis. If you consider the spectrum from reactive to proactive enclosed by Mimesis on one end and IDA on the other, then ASD lives somewhere in the middle. ASD also shares some similarities with the beat-based drama manager of Mateas & Stern (see Section 6.2); however, in contrast to beat-based systems where non-determinism and loosely specified authorial goals provide distinct player autonomy appropriate for narrative situations, this system uses a planning based approach to “recover” authorial goals when player actions change the narrative flow. The ASD approach is well suited to training or learning environments where player autonomy is intended to support exploratory learning rather than improve the quality of the entertainment experience.

ASD is **theoretically sound**. To our knowledge, this is the only system for which theoretical properties explicitly pertaining to narrative rhetoric (as opposed to mathematical properties of the solution) have been proven. Additionally, the handling of **player autonomy** is laudable, because contingencies for achieving authorial goals are *modus operandi*. On the other hand, the only source of **replayability** comes from player choices.

In addition to ASD, the Mimesis system also performs narrative mediation. Whereas ASD uses a completely pre-specified narrative mediation approach where all contingency plans are computed in advance, Mimesis accomplishes this through a complicated caching and speculative re-planning scheme. The Mimesis approach requires that all characters in the game (including the human player) obtain permission from the mediator before executing their actions. Thus, rather than fully determining all contingency plans, Mimesis can cache those most relevant to the current narrative plan and construct new ones as player actions move the narrative toward parts of the story space not as heavily represented by mediation plans in the cache.

Evaluation:

- **Speed:** ●, pre-computation of the narrative mediation tree results in solid online performance (except in catastrophic cases where re-planning is required); however, offline computation can be significant.

- **Coordination:** ⊖, dependent on the implementation.
- **Replayability:** ○, the player is the sole source of non-determinism.
- **Authorial Control:** ●, the mediation tree enables the system to ensure the author’s goals are met.
- **Player Autonomy:** ●, the use of the mediation tree enables the system to react when players threaten the narrative path, giving a sense of autonomy.
- **Ease of Authoring:** ○, authoring in STRIPS-like planning domain requires competence in AI techniques.
- **Adaptability:** ○, does not model or adapt to the player’s specific behaviors.
- **Soundness:** ●, things have been proven about the representational power of the mediation tree.
- **Invisibility:** ⊖, is dependent on the “repairs” the author provides.
- **Measurability:** ○, no affordance is provided for measurability.

5.4 Dilemma-based Narratives

Barber and Kudenko [6] [7] have developed a system based on the notion that “drama is conflict”. It dynamically generates *dilemma-based* interactive narratives. The narratives are potentially infinite in length and adapt to both the evolving relationships between the characters and to the player’s behavior. To induce dramatic tension in the narratives, the player is coerced into making decisions based on clichéd dilemmas found in typical modern soap operas. These dilemmas are woven together using an overarching story line.

The system has three components: a *knowledge base* for characters, actions, dilemmas, and the environment; a *model of the player’s* behavior and preferences; and a *narrative planning* system. The narrative environment is defined by the knowledge base. Specifically, the characters themselves are defined by attributes such as relationships with other characters and principles such as kindness toward others. Through the use of dilemmas, the characters are often forced to choose between conflicting principles such as greed and loyalty. In addition, the actions available to characters are specified in advance as part of the knowledge base.

The authors have identified five basic dilemma types that can ground out in any number of domain specific ways: *betrayal*, *sacrifice*, *greater good*, *take down*, and *favor*. In the betrayal case, the character must choose whether to take an action that increases their utility while decreasing the utility of a friend. The converse is the sacrifice case. Similarly, there is the greater good case where the character has to choose whether to take an action that will increase the utility an enemy as well as their own. The converse is the take down case. In the favor case, the character must choose whether to take an action that is personally neutral, but increases the utility of another character. Each domain specific dilemma is annotated with preconditions as well as utility changes for the characters involved. The specific characters involved may be determined at runtime.

To construct the narrative, the system selects among the set of available dilemmas based on an appropriateness estimate as well as the frequency with which each particular

type of dilemma has been employed already. Appropriateness is determined mostly by the ongoing modeling of the player's behavior under specific dilemma types. Using this model, the system estimates how the player would act in a particular dilemma and then estimates how difficult the dilemma will be for the player. The dilemma that will be most difficult is most likely to be selected. Given a selection, a story world planner constructs a plan to satisfy the preconditions of the dilemma. Upon realization of those preconditions, the player is forced to decide the outcome of the dilemma. The system then reacts and selects the next dilemma to present to the player. In addition to player dilemmas, the system can create "character dilemmas" between two NPCs. These are used to help set the stage for the player dilemmas.

This system is notable for its **adaptability** in modeling the player and using that model to select among dilemmas. Additionally, the use of the planner to bring about dilemmas in a manner that forces **coordination** of NPCs is laudable. Unfortunately, this power brings about an increased **authorial burden**.

Evaluation:

- **Speed:** ○, online planning approach can be slow in any sizable domain.
- **Coordination:** ●, is designed to coordinate NPCs to bring about dilemmas for player characters.
- **Replayability:** ●, the system dynamically creates narratives both independently of the player as well as in response to their actions.
- **Authorial Control:** ●, domain engineering allows for high degree of authorial control over dilemma types, frequencies, and applicability.
- **Player Autonomy:** ●, player is free to avoid dilemmas and act in whatever manner they feel like.
- **Ease of Authoring:** ○, requires STRIPS-like specification of the domain and character specific information which necessitates AI competence.
- **Adaptability:** ●, models players and chooses dilemmas for them by trying to maximize their expected utility.
- **Soundness:** ○, there is no affordance for theoretical inquiry.
- **Invisibility:** ●, although this system forces players to make decisions, the "soap opera" genera for which it is designed obfuscates the work of the narrative generator.
- **Measurability:** ●, can measure influence of the DM on the modeled player utility value.

6. Non-Planning and Non-Optimization Systems

In this section, we evaluate a number of approaches to drama management that are neither optimization-based nor planning-based. The technical approaches underlying these systems vary greatly, ranging from probabilistic graphical models to case-based reasoning.

6.1 U-Director

Mott & Lester developed *U-Director*, a narrative planning infrastructure that is designed to deal with the uncertainty in narrative environments induced by player autonomy [34].

Their goal is to develop a system that satisfies what they call *narrative rationality*, defined as reasoning in a principled manner about narrative objectives, story world state, and user state in the face of uncertainty to maximize narrative utility.

The "director agent" ensures plot progress and narrative consistency using *dynamic decision networks* (DDNs). DDNs are a generalization of Bayesian networks that include utility and choice nodes as well as time-varying attributes. The network is constructed using a level of abstraction similar to that of SBDM, DODM, and TTD-MDPs where DM actions are abstract directions that can have any number of concrete implementations in the game world.

They define a narrative decision cycle that is characterized by three levels of a dynamic decision network: the current game state (characterized by a decision node); the game state after the director's action has been taken (characterized by a chance node); and the game state after the player's reaction (characterized by a utility node). The utility nodes represent authorial intent in much the same way that the evaluation function does for SBDM and DODM. Each of these levels of the network contains nodes that represent details about the game and the players. The decision network contains nodes for the player's goals and beliefs (or knowledge gained about the salient facts of the story through interaction) as well as experiential state (or degree the player has been manipulated by the DM and how engaged they are in driving the plot). To actually make a decision, the director updates the narrative state according to the structure of the network in each of the three time slices associated with the current decision cycle. With the network updated, the director can perform action selection by analyzing each action's influence on the utility node in the third time slice.

In their tests, they have a network with 200 chance nodes, 400 causal links, and 7,000 conditional probabilities as well as a separate network of 50 nodes to express narrative utility preferences. It seems unlikely that the non-expert will find this **easy to author**; however, this approach is theoretically well-grounded in the body of work on dynamic decision networks and so is quite **sound**.

Evaluation:

- **Speed:** ○, inference in Bayesian networks can be slow (albeit more efficient than modeling the complete joint).
- **Coordination:** ●, is dependent on the concrete implementation.
- **Replayability:** ○, non-determinism is modeled in the system, but not leveraged in DM decision making to target a variety of experiences.
- **Authorial Control:** ●, it is dependent on the style of actions the author provides.
- **Player Autonomy:** ●, it is dependent on the style of actions the author provides.
- **Ease of Authoring:** ○, dynamic decision networks are a fairly advanced machine learning technique and require specific knowledge of probabilistic graphical models.
- **Adaptability:** ●, the explicit modeling and adaption of player relationships, experience, and utility influence decision making.
- **Soundness:** ●, relies on the theories behind probabilistic graphical models.

- **Invisibility:** ☹, is dependent on the specific implementation.
- **Measurability:** ●, the use of utility nodes in the decision networks enables claims to be made about performance.

6.2 Beat-Based DM

Mateas & Stern define a narrative to be a sequence of events that induce “changes in values.” These values are properties of individuals or relationships such as love, hope, or anger. They define a *beat* as the “smallest unit of value change” and a *scene* as a “large-scale story event” [26]. Computationally, a scene in an interactive narrative is defined by a number of annotations: a set of preconditions; the values that are changed during the scene; a large collection of beats to effect the desired change in values; and a temporal description of how the values should be changed during the scene. Thus, an interactive narrative is defined by a set of scene definitions.

With scenes as the basic building blocks, Mateas & Stern develop a *beat-based* drama manager and implement it in their interactive fiction *Façade* [27] – [30]. The drama manager is provided with a desired *global plot arc* that defines a shape for the change of the dramatic variables. The DM first determines the set of scene definitions that have satisfied preconditions and selects the one that matches the current position of the global plot arc as closely as possible. Then, the DM maintains a bag of beats associated with the current scene and reactively applies them until it realizes the desired value changes for the scene. Note that the change on dramatic values by a particular beat is a function of the beat’s characteristics and the human player’s participation. Thus, beats define an expectation over value change.

This authorial idiom is unique among all of the drama management systems surveyed in this paper. Due to the level of granularity required to author beats and their interactions, a beat-based drama manager seems ideally suited to the small-world variety of dramas like *Façade*; however, the freedom of **replayability** and **authorial control** may come at the price of **ease of authoring**, at least for large systems.

Evaluation:

- **Speed:** ●, the simple search through bags of beats is all that is required for DM decision making.
- **Coordination:** ●, is specifically designed so beats affect coordination between the two NPCs in the narrative environment.
- **Replayability:** ●, random selection of beats that meet the current requirements enables variety of experience (although it is not as controllable as one might hope).
- **Authorial Control:** ●, the highly detailed specification of value change associated with beats and scenes enables a high degree of authorial control.
- **Player Autonomy:** ●, the player-interaction determines the value changes so it will further affect the DM’s choice of appropriate beats.
- **Ease of Authoring:** ○, the level of detail required of annotations can present a significant authorial burden.
- **Adaptability:** ○, no model of the player is maintained during episodes, this system relies on the author’s description of player behavior.

- **Soundness:** ○, there is no affordance for theoretical inquiry of this system.
- **Invisibility:** ☹, this is highly author dependent.
- **Measurability:** ○, the effect of the beat based DM cannot be quantified.

6.3 OPIATE

Fairclough implements a narrative story generation system called OPIATE. OPIATE uses a *story director* to drive narrative events in an open environment where the story is generated in real-time in response to the changing game environment and the player’s actions [14]. The story director has a “world view” about the state of the game, using that to construct plans to achieve dramatic goals. It uses a *case-based planner* that is endowed with a plan library created using expert knowledge of skeletal plot structures and how they fit into the story world.

The case-based planner uses its dramatic goals and plan library to synthesize plot-based and character-based stories. A k-nearest neighbor algorithm is used for case retrieval that additionally provides a “suitability” score for each of the retrieved cases—the most suitable case is the sub-plot that should be enacted given the current state of the story world and the current state of the characters (including their attitudes toward each other and the player). A “suitability threshold” is used to determine if the best case should be used or cases should be combined to create a new case to be enacted by the story director. The suitability score can be decomposed to provide an individual score for each “function” in the case. Thus, case combination is simply a matter of finding the highest scored set of functions and combining them to form a new case. Once a case is selected, a “casting” approach is used where the abstract instructions of the case are assigned to specific characters based on defined roles. For example, if the role of “hero” is embodied by the player, then the NPC that opposes the player the most will be cast as the “villain.” Thus, as the relationships between the characters change throughout the dramatic experience, the cases that are retrieved change based on the suitability of the casting of the characters based on their relationships. This is similar to the work of Mateas & Stern on beat-based drama management where the scenes that are selected by the DM are chosen based on their fit to the dramatic values that represent the characters and their relationships.

There is notable **authorial effort** required to construct a case-base for the OPIATE system. On the other hand, its unique approach to dynamically casting non-player characters into different roles based on evolving relationships encourages **replayability** and provides a unique form of **coordination**.

Evaluation:

- **Speed:** ○, the choice of representation and size of case library can cause the CBR system to perform slowly.
- **Coordination:** ●, narrative decisions specify roles for each NPC to play in the environment.
- **Replayability:** ○, as the case-library evolves, the choices made by the DM will first become more varied and then become more static once a sizable enough case-library has been developed.

- **Authorial Control:** ●, the casting approach taken gives a high degree of control to the author allowing for specific narrative events to be forced to occur.
- **Player Autonomy:** ●, the player controls their relationships with other NPCs which influences the evolution of the game.
- **Ease of Authoring:** ○, requires notable effort to annotate sub-plots with relationship information as well as to develop a large enough case-library.
- **Adaptability:** ●, the choices of the system are made based on the player's evolving relationship with the NPCs.
- **Soundness:** ○, the system provides no affordance for theoretical inquiry.
- **Invisibility:** ●, this is dependent on the specific set of sub-plots authored, but seems that the task of authoring for invisibility can be accomplished easily.
- **Measurability:** ○, no affordance for measurability is provided by this system.

6.4 Player Preferences

Sharma *et al.* have taken an approach to drama management that explicitly includes a model of player preference in the DM's decision making [47]. Drawing a distinction between *player preference* models and *player action* models, they identify one criticism of many other methods: drama management techniques overwhelmingly use artificial models of player behavior that do not explicitly represent the player's preferences or goals.

This approach is based on a simplification of the SAS+ algorithm that nonetheless extends it by combining the author's evaluation of a story and the player's preference for that story. They employ a *case-based reasoning* (CBR) system to determine player preferences by comparing their behavior to the behavior of earlier players. Preferences are elicited through a series of evaluation questions after an episode of game play. The weights on the player preference term and the author evaluation term in the heuristic function are adjusted depending on the "confidence" of the system that it has an accurate model of player preferences. Thus, if the system is able to confidently identify the current player as having a particular preference, it will guide her toward the types of the stories she enjoys; otherwise, it will attempt to preserve author intent.

Several issues arise. First, the author's evaluation function must be defined over partial stories. Nelson & Mateas have previously discussed the difficulties in authoring evaluation functions that are well defined in this manner [36] [37]. Second, the particular choice of questions used for elicitation can be a cause for concern especially when the user is not completely sure of what she wants. Finally, it is unclear if the distinction between player preference models and player action models is necessary: explicitly modeling player preference may not provide increased representational power over implicitly modeling player preferences through the detailed modeling of their actions.

In any case, this system makes explicit the trade-off between **player autonomy** and **authorial control**. Further, the case-based approach is well-suited for online **adaption**.

Of course, as with all learning techniques, CBR may require many examples to be effective, so extracting a player model may be difficult in practice. Insofar as this is difficult, the system reverts to SBDM. Insofar as it is possible, the system cedes authorial control.

Evaluation:

- **Speed:** ○, reliance on expectimax search and a growing case library can cause speed issues.
- **Coordination:** ●, this is dependent on the concrete implementation.
- **Replayability:** ○, like OPIATE, as the case-base grows, the system's choices will stagnate and begin to rely heavily on the player for non-determinism.
- **Authorial Control:** ○, the system will maximize for the player rather than the author if at all possible.
- **Player Autonomy:** ●, this is dependent on the concrete implementation.
- **Ease of Authoring:** ●, like SBDM and DODM, authoring an abstract narrative seems easy but it's unclear if the evaluation function is feasible.
- **Adaptability:** ●, designed to improve decision making in favor of the player's satisfaction.
- **Soundness:** ○, no affordance provided for theoretical inquiry.
- **Invisibility:** ●, is dependent on the specific concrete implementation.
- **Measurability:** ●, player satisfaction can be measured through observation.

6.5 PaSSAGE

Thue *et al.* present the Player-Specific Stories via Automatically Generated Events (PaSSAGE) system [49] [50]. This system uses a three level hierarchy for defining a narrative similar to the idea of Mateas and Stern's *narrative sequencing*: the *event sequence* level where the components of the story are selected; the *structure* level where the details concerning the time and place of story events are determined; and lastly the *behavior* level where the actions of individual characters are determined. While each level of the specification is performed ahead of time by the game author, the library of available specifications is refined during game play to fit the individual player's characteristics.

The PaSSAGE system models the players *style of play* in the game, refining its estimates as the narrative unfolds. The authors classify players according to five *player types*: fighters who prefer combat; power gamers who prefer gaining riches and items; tacticians who prefer thinking creatively; storytellers who prefer complex plots; and method actors who prefer dramatic actions. Based on the observation of the player's behavior in the game and annotations of plot events provided by the author, the system expresses its belief that the current player is of a specific type in the form of a weight vector. For example, if the system observes the player starting or joining an existing fight, it will increase the weight associated with the fighter player type.

Thus, similar to Barber and Kudenko's dilemma system, PaSSAGE manages the narrative experience by selecting among the set of story events that is most appealing to the currently estimated player weight values. Each event has a set

of associated branches annotated with weights describing the appeal to each of the different player types. To determine the event and branch that is most appropriate, the inner-product is taken between the player weights and the author's weight annotations. The geometric interpretation of the inner-product is related to the cosine of the angle between the vectors. Thus, the more similar the vectors are, the higher the value of the inner-product will be.

This system excels in **speed** due to the simplicity of inner-product calculations. Additionally, the extensive use and refinement of a player model earns it high marks in the **adaptability** category; however, the exhaustive set of annotations required for the system to take advantage of this modeling results in significant **authorial burden**.

Evaluation:

- **Speed:** ●, the DM decision making process is determined by the calculation of an inner product between two small vectors.
- **Coordination:** ☹, is based on the concrete implementation.
- **Replayability:** ○, is dependent on the player as the sole source of non-determinism.
- **Authorial Control:** ●, with concrete scripting and rich annotations this system provides significant authorial control.
- **Player Autonomy:** ●, constructs narratives in response to player's decisions in the environment.
- **Ease of Authoring:** ○, requires exhaustive and rich annotations of many sub-plots.
- **Adaptability:** ●, maintains a model of player types based on observed game behavior and selects narrative events that fit well with specific player types.
- **Soundness:** ○, no affordance for theoretical inquiry is provided.
- **Invisibility:** ●, since the system generates rather than adapts narratives, it will be tough for players to identify the role of the DM.
- **Measurability:** ○, no affordance for measurement is provided.

7. Coordination Outside of Interactive Drama

Although we mainly discuss these drama management systems in terms of interactive entertainment, we feel that efforts in applying such techniques in other domains are instructive. In this section, we briefly mention *narrative-based learning* and *game balancing*.

7.1 Narrative-Based Learning

There has been growing interest in the use of games for instructional purposes. In educational and training environments, the teacher or trainer plays the role that the author plays in entertainment settings. Thus, the task of dynamically constructing engaging learning experiences in games is similar to the task of ensuring authorial intent in interactive narrative environments. Mott *et al.* have developed a multi-level planning architecture for narrative-based learning environments [31] – [33]. Ultimately, the goal of their system is twofold. First, the system must support the

hypothesis-generation-testing cycles that are the foundation of exploratory learning. Second, the system must provide appropriate levels of motivation and engagement for the learner to succeed.

Their system uses two *hierarchical task network* (HTN) planners that operate at two levels of abstraction. The *tutorial* planner constructs plans that reflect the educational goals of the teacher. On the other hand, the *narrative* planner determines how best to carry out the tutorial plans at the concrete game level. Tutorial plans constrain the plan space of the narrative plans.

Mott *et al.* describe their HTN-based system as providing an intuitive and **easy authorial idiom**; however, their deterministic planning approach reduces **replayability**.

In addition to the work of Mott *et al.*, Riedl, *et al.* have also applied their work on ASD to training scenarios (see Section 5.3 for the discussion of that work).

Evaluation:

- **Speed:** ○, as noted throughout this paper, planning is slow in any sizable domain.
- **Coordination:** ☹, it is unclear if NPC agents make sense in this domain and is therefore author dependent.
- **Replayability:** ○, the use of deterministic HTN planners requires that the player be the source of non-determinism.
- **Authorial Control:** ●, is designed to guide players to a specific authorial goal.
- **Player Autonomy:** ●, designed to support exploratory learning.
- **Ease of Authoring:** ●, the designers of this system describe it as providing an intuitive and easy authorial idiom.
- **Adaptability:** ○, no model of player goals or preferences are included in the system.
- **Soundness:** ○, no affordance is provided for theoretical inquiry.
- **Invisibility:** ☹, is dependent on the set of actions provided to the DM.
- **Measurability:** ○, no affordance is provided to measure educational goals of the teacher (or author).

7.2 Game Balancing

At a high level, drama management shares something in common with *dynamic game balancing*. That is, both game balancing agents and drama managers are tasked with making changes to the game world that will affect the player's experience. As discussed throughout this paper, the drama manager is generally designed to ensure authorial intent; however, a game balancing agent tries to modify the game world to ensure maximal enjoyment by the player. In that sense, the work on player preference modeling, dilemma-based narratives, and PaSSAGE each have elements in common with game balancing approaches as well as drama management approaches.

A frequently discussed example of game balancing is that of a first person action game. The more frequently the game is played, the more skilled the player will become at the combinations of button presses and timing required to master the game. As the player's skill level increases, it is likely the

game will become less challenging and potentially cause the player to lose interest; however, if the game's difficulty is adjusted to keep the the player from mastering it, the player may also loose interest due to feeling like they are not improving. Traditionally, games have a static balancing component in the form of level selection (*e.g.* easy, medium, hard, or expert). Recent AI research applied to game balancing has given rise to the field of dynamic game balancing where the traditional "discrete" balancing through explicit player selection is replaced with intelligent game adaption and replayability across game episodes.

Our treatment of dynamic game balancing is brief due to space limitations; however, it is a rich area that supports a number of approaches, including reinforcement learning [1] – [5]; parameter manipulation [15]; dynamic scripting [48]; and genetic algorithms [13].

8. Discussion

We have surveyed a variety of systems for drama management in interactive drama. We have proposed a number of desiderata, including **speed, coordination, replayability, authorial control, player autonomy, ease of authoring, adaptability, soundness, invisibility, and measurability.**

The systems we have explored each have strengths; however, they all share common weaknesses. The approaches to drama management explored here have been focused on developing systems that provide some level of fidelity to the author's intent given a model of that intent; however, there is little evidence to suggest that any of the models proposed here are transparent to the typical author, who will presumably be an expert in narrative, but not in optimization, planning or any specific AI technique.

Recall that we have assumed that our hypothetical authors have created a pleasing narrative; however, it is unclear whether enforcing that author's narrative yields the most satisfying game play. Even if we can reasonably assume this problem away, it still remains to demonstrate that we have created systems that allow even highly motivated authors to express such narratives.

As such, we propose that future work focus on the technical details of developing new frameworks for ensuring authorial intent and the user and ethnographic studies necessary to understand whether we have provided the authorial tools that allow designers to use of our frameworks.

Acknowledgments

This research was performed while on appointment as a U. S. Department of Homeland Security (DHS) Fellow under the DHS Scholarship and Fellowship Program, a program administered by the Oak Ridge Institute for Science and Education (ORISE) for DHS through an interagency agreement with the U. S. Department of Energy (DOE). ORISE is managed by Oak Ridge Associated Universities under DOE contract number DE-AC05-06OR23100. All opinions expressed in this paper are the author's and do not necessarily reflect the policies and views of DHS, DOE, or ORISE. We also acknowledge the support of DARPA under contract No. HR0011-06-1-0021.

References

- [1] G. Andrade, G. Ramalho, A. Gomes, and V. Corruble. Challenge-sensitive game balancing: an evaluation of user satisfaction. In Proceedings of the 4rd Brazilian Workshop on Computer Games and Digital Entertainment (WJogos05), pages 66–76, 2005.
- [2] G. Andrade, G. Ramalho, A. Gomes, and V. Corruble. Dynamic game balancing: an evaluation of user satisfaction. In Proceedings of the 2nd Artificial Intelligence and Interactive Digital Entertainment Conference (AIIDE06). AAAI Press, 2006.
- [3] G. Andrade, G. Ramalho, H. Santana, and V. Corruble. Challenge-sensitive action selection: an application to game balancing. In Proceedings of the IEEE/WIC/ACM International Conference on Intelligent Agent Technology (IAT05), pages 194–200. IEEE Computer Society, 2005.
- [4] G. Andrade, G. Ramalho, H. Santana, and V. Corruble. Extending reinforcement learning to provide dynamic game balancing. In D. W. Aha, H. Muñoz-Avila, and M. van Lent, editors, In Proceedings of the 2005 IJCAI Workshop on Reasoning, Representation, and Learning in Computer Games, pages 7–12, 2005.
- [5] G. Andrade, H. Santana, A. Furtado, A. Leitão, and G. Ramalho. Online adaptation of computer games agents: A reinforcement learning approach. *Scientia*, 15(2), 2004.
- [6] H. Barber and D. Kudenko. Dynamic Generation of Dilemma-based Interactive Narratives. In Proceedings of the third Artificial Intelligence and Interactive Digital Entertainment conference (AIIDE07), 2007.
- [7] H. Barber and D. Kudenko. A User Model for the Generation of Dilemma-based Interactive Narratives. In Proceedings of the Second Workshop on Optimizing Player Satisfaction, 2007.
- [8] J. Bates. Virtual reality, art, and entertainment. *Presence: The Journal of Teleoperators and Virtual Environments*, 2(1):133–138, 1992.
- [9] S. Bhat, D. L. Roberts, M. J. Nelson, C. L. Isbell, and M. Mateas. A globally optimal algorithm for ttd-mdps. In Proceedings of the Sixth International Joint Conference on Autonomous Agents and Multiagent Systems (AAMAS07), 2007.
- [10] A. S. Cantino, D. L. Roberts, and C. L. Isbell. Autonomous nondeterministic tour guides: Improving quality of experience with ttd-mdps. Technical Report GIT-IIC-07-02, School of Interactive Computing, College of Computing, Georgia Institute of Technology, 2007.
- [11] A. S. Cantino, D. L. Roberts, and C. L. Isbell. Autonomous nondeterministic tour guides: Improving quality of experience with ttd-mdps. In Proceedings of the Sixth International Joint Conference on Autonomous Agents and Multiagent Systems (AAMAS07), 2007.
- [12] D. Christian and R. M. Young. Comparing cognitive and computational models of narrative structure. Technical Report TR03-001, Liquid Narrative Group, Department of Computer Science, North Carolina State University, 2003.
- [13] P. Demasi and A. Cruz. Online coevolution for action games. In Proceedings of The 3rd International

- Conference on Intelligent Games And Simulation, pages 113–120, 2002.
- [14] C. Fairclough. Story Games and the OPIATE System. PhD thesis, University of Dublin - Trinity College, 2006.
- [15] R. Hunicke and V. Chapman. Ai for dynamic difficulty adjustment in games. In *Challenges in Game Artificial Intelligence AAAI Workshop*, pages 91–96, 2004.
- [16] L. P. Kaelbling, M. L. Littman, and A. P. Moore. Reinforcement learning: A survey. *Journal of Artificial Intelligence Research*, 4:237–285, 1996.
- [17] J. E. Laird, A. Newell, and P. S. Rosenbloom. Soar: An architecture for general intelligence. *Artificial Intelligence*, 33(3):1–64, 1987.
- [18] A. Lamstein and M. Mateas. A search-based drama manager. In *Proceedings of the AAAI-04 Workshop on Challenges in Game AI*, 2004.
- [19] B. Laurel. Toward the Design of a Computer-Based Interactive Fantasy System. PhD thesis, Drama department, Ohio State University, 1986.
- [20] B. Magerko. Player modeling in the interactive drama architecture. Ph.D. Dissertation. Department of Computer Science and Engineering, University of Michigan. 2006.
- [21] B. Magerko. Story representation and interactive drama. In *1st Artificial Intelligence and Interactive Digital Entertainment Conference (AIIDE05)*, 2005.
- [22] B. Magerko and J. E. Laird. Building an interactive drama architecture. In *First International Conference on Technologies for Interactive Digital Storytelling and Entertainment (TIDSE03)*, pages 226–237, 2003.
- [23] B. Magerko and J. E. Laird. Mediating the tension between plot and interaction. In *AAAI Workshop Series: Challenges in Game Artificial Intelligence*, pages 108–112, 2004.
- [24] B. Magerko, J. E. Laird, M. Assanie, A. Kerfoot, and D. Stokes. Ai characters and directors for interactive computer games. In *16th Innovative Applications of Artificial Intelligence Conference (IAAI04)*, pages 877–883, 2004.
- [25] M. Mateas. An Oz-centric review of interactive drama and believable agents. In M. Woodrige and M. Veloso, editors, *AI Today: Recent Trends and Developments. Lecture Notes in AI 1600*. Springer, Berlin, NY, 1999. Also, Technical Report CMU-CS-97-156, Computer Science Department, Carnegie Mellon University.
- [26] M. Mateas and A. Stern. Towards integrating plot and character for interactive drama. In *Working notes of the Social Intelligent Agents: The Human in the Loop Symposium. AAAI Fall Symposium Series*, 2000.
- [27] M. Mateas and A. Stern. Architecture, authorial idioms and early observations of the interactive drama Façade. Technical Report CMU-CS-02-198, Carnegie Mellon University, 2003.
- [28] M. Mateas and A. Stern. Façade: An experiment in building a fully-realized interactive drama. In *Game Developer’s Conference: Game Design Track*, 2003.
- [29] M. Mateas and A. Stern. Integrating plot, character, and natural language processing in the interactive drama Façade. In *Proceedings of the 1st International Conference on Technologies for Interactive Digital Storytelling and Entertainment (TIDSE-03)*, Darmstadt, Germany, 2003.
- [30] M. Mateas and A. Stern. Structuring content in the Façade interactive drama architecture. In *Proceedings of Artificial Intelligence and Interactive Digital Entertainment (AIIDE05)*, 2005.
- [31] B. Mott, S. Lee, and J. Lester. Probabilistic goal recognition in interactive narrative environments. In *Proceedings of the Twenty-First National Conference on Artificial Intelligence (AAAI06)*, 2006.
- [32] B. Mott and J. Lester. Narrative-centered tutorial planning for inquiry-based learning environments. In *Proceedings of the 8th International Conference on Intelligent Tutoring Systems (ITS06)*, 2006.
- [33] B. W. Mott, C. Callaway, L. Zettlemoyer, S. Lee, and J. Lester. Towards narrative-centered learning environments. In *Proceedings of the AAAI Fall Symposium on Narrative Intelligence*, pages 78–82, 1999.
- [34] B. W. Mott and J. C. Lester. U-director: a decision-theoretic narrative planning architecture for storytelling environments. In *Proceedings of the fifth international joint conference on Autonomous agents and multiagent systems (AAMAS06)*, pages 977–984, New York, NY, USA, 2006. ACM Press.
- [35] B. W. Mott, S. W. McQuiggan, S. Lee, S. Y. Lee, and J. C. Lester. Narrative-centered environments for guided exploratory learning. In *Proceedings of the Agent Based Systems for Human Learning Workshop at the 5th International Joint Conference on Autonomous Agents and Multiagent Systems (ABSHL06)*, 2006.
- [36] M. J. Nelson and M. Mateas. Search-based drama management in the interactive fiction anchorhead. In *Proceedings of the First Artificial Intelligence and Interactive Digital Entertainment Conference (AIIDE 2005)*, 2005.
- [37] M. J. Nelson, M. Mateas, D. L. Roberts, and C. L. Isbell. Declarative optimization-based drama management in the interactive fiction anchorhead. *IEEE Computer Graphics and Applications (Special Issue on Interactive Narrative)*, 26(3):30–39, 2006.
- [38] M. J. Nelson, D. L. Roberts, C. L. Isbell, and M. Mateas. Reinforcement learning for declarative optimization-based drama management. In *Proceedings of the Fifth International Joint Conference on Autonomous Agents and Multiagent Systems (AAMAS06)*, 2006.
- [39] M. O. Riedl, C. Saretto, and R. M. Young. Managing interaction between users and agents in a multi-agent storytelling environment. In *Proceedings of the 2nd International Joint Conference on Autonomous Agents and Multi Agent Systems*, 2003.
- [40] M. O. Riedl and A. Stern. Believable agents and intelligent scenario direction for social and cultural leadership training. In *Proceedings of the 15th Conference on Behavior Representation in Modeling and Simulation (BRIMS06)*, 2006.
- [41] M. O. Riedl and A. Stern. Believable agents and intelligent story adaptation for interactive storytelling. In *Proceedings of the 3rd International Conference on Technologies for Interactive Digital Storytelling and Entertainment (TIDSE06)*, 2006.
- [42] M. O. Riedl and A. Stern. Failing believably: Toward drama management with autonomous actors in interactive narratives. In *Proceedings of the 3rd*

- International Conference on Technologies for Interactive Digital Storytelling and Entertainment (TIDSE06), 2006.
- [43] M. O. Riedl and R. M. Young. From linear story generation to branching story graphs. *IEEE Computer Graphics and Applications, Special Issue on Interactive Narrative*, 26(3), 2006.
- [44] D. L. Roberts, A. S. Cantino, and C. L. Isbell. Player autonomy versus designer intent: A case study of interactive tour guides. In *Proceedings of the Third Conference and Artificial Intelligence for Interactive Digital Entertainment (AIIDE-07)*, 2007.
- [45] D. L. Roberts, M. J. Nelson, C. L. Isbell, M. Mates, and M. L. Littman. Targeting specific distributions of trajectories in mdps. In *Proceedings of the 21st National Conference on Artificial Intelligence (AAAI-06)*, 2006.
- [46] D. L. Roberts, K. St. Clair, S. Bhat, and C. Isbell. Authorial idioms for target distributions in TTD-MDPs. In *Proceedings of the 22nd Conference on Artificial Intelligence (AAAI-07)*, Vancouver, BC, 2007.
- [47] M. Sharma, S. Ontaón, C. Strong, M. Mehta, and A. Ram. Towards player preference modeling for drama management in interactive stories. In *Proceedings of the Twentieth International FLAIRS Conference (FLAIRS07)*, 2007.
- [48] P. Spronck, I. Sprinkhuizen-Kuyper, and E. Postma. Difficulty scaling of game ai. In *Proceedings of the 5th International Conference on Intelligent Games and Simulation*, pages 33–37, 2004.
- [49] D. Thue and V. Bulitko. Modelling Goal-directed Players in Digital Games. In *Proceedings of the second Artificial Intelligence and Interactive Digital Entertainment Conference (AIIDE06)*, 2006.
- [50] D. Thue, V. Bulitko, M. Spetch, and E. Wasylshen. Interactive Storytelling: A Player Modeling Approach. In *Proceedings of the 3rd Artificial Intelligence and Interactive Digital Entertainment Conference (AIIDE07)*, 2007.
- [51] M. van Lent, M. O. Riedl, P. Carpenter, R. McAlinden, and P. Brobst. Increasing replayability with deliberative and reactive planning. In *Proceedings of the 1st Conference on Artificial Intelligence and Interactive Digital Entertainment (AIIDE05)*, 2005.
- [52] P. Weyhrauch. Guiding Interactive Drama. PhD thesis, School of Computer Science, Carnegie Mellon University, Pittsburgh, PA, 1997. Technical Report CMU-CS-97-109.
- [53] R. M. Young. Notes on the use of plan structures in the creation of interactive plot. In *The Working Notes of the AAAI Fall Symposium on Narrative Intelligence*, 1999.
- [54] R. M. Young. An overview of the mimesis architecture: Integrating intelligent narrative control into an existing gaming environment. In *Working Notes of the AAAI Spring Symposium on Artificial Intelligence and Interactive Entertainment*, 2001.
- [55] R. M. Young, J. D. Moore, and M. Pollack. Towards a principled representation of discourse plans. In *Proceedings of the Sixteenth Conference of the Cognitive Science Society*, 1994.
- [56] R. M. Young and M. Riedl. Towards an architecture for intelligent control of narrative in interactive virtual worlds. In *Proceedings of the International Conference on Intelligent User Interfaces*, 2003.
- [57] R. M. Young, M. O. Riedl, M. Branly, and A. Jhala. An architecture for integrating plan-based behavior generation with interactive game environments. *Journal of Game Development*, 1(1):54–70, 2004.

A. Appendix

In this appendix, we present a table summarizing the qualitative analysis provided in the text above. It is intended for use as a reference to guide the reader interested only in a few of the systems surveyed that exhibit the properties they are interested in. For ease, the order of presentation of the systems is the same order as in the body of the text.

	speed	coord	replay	control	autonomy	ease	adapt	sound	invisible	measure
SBDM	○	◐	○	◐	◐	○	○	○	◐	●
DODM	●	◐	○	◐	◐	○	○	●	◐	●
TTD-MDPs	●	◐	●	◐	◐	●	○	●	◐	●
IDA	○	●	○	○	●	●/○	○	○	●	●
Mimesis	○	●	○	●	●	○	○	○	○	○
ASD	●	◐	○	●	●	○	○	●	◐	○
Dilemmas	○	●	●	●	●	○	●	○	●	●
U-Director	○	◐	○	◐	◐	○	●	●	◐	●
Beat-based	●	●	●	●	●	○	○	○	◐	○
OPIATE	○	●	○	●	●	○	●	○	◐	○
Preference Modeling	○	◐	○	○	◐	◐	●	○	◐	●
PaSSAGE	●	◐	○	●	●	○	●	○	●	○
Narrative Learning	○	◐	○	●	●	●	○	○	◐	○

Author Bios

David L. Roberts is a Ph.D. student at the Georgia Institute of Technology's School of Interactive Computing in the College of Computing. He has a BA in computer science and mathematics from Colgate University. His research interests include the development of novel machine learning tools that are accessible to non-expert users in a variety of domains. His dissertation work is the development of authoring paradigms for immersive virtual environments. The results of this work will enable domain

experts, rather than technical experts, to efficiently author complex and engaging scenarios in virtual environments.

Charles L. Isbell Charles L. Isbell Jr. is an associate professor at the Georgia Institute of Technology's School of Interactive Computing in the College of Computing. His research interests include developing machine learning algorithms and tools to enable intelligent agents to interact with thousands of other intelligent agents, some of whom may be human. Isbell has a BS in computer science from Georgia Tech and a PhD from the Artificial Intelligence Laboratory at the Massachusetts Institute of Technology.